

Helical Quantum Edge Gears in 2D Topological Insulators

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We show that two-terminal transport can measure the Luttinger liquid (LL) parameter K , in helical LLs at the edges of two-dimensional topological insulators (TIs) with Rashba spin-orbit coupling. We consider a Coulomb drag geometry with two coplanar TIs and short-ranged spin-flip interedge scattering. Current injected into one edge loop induces circulation in the second, which floats without leads. In the low-temperature ($T \rightarrow 0$) perfect drag regime, the conductance is $(e^2/h)(2K+1)/(K+1)$. At higher T , we predict a conductivity $\sim T^{-4K+3}$. The conductivity for a single edge is also computed.

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The edge states that encircle two-dimensional (2D) topological insulators (TIs) realize a novel electronic helical Luttinger liquid (HLL) phase [1–3]. Distinct from an ordinary one-dimensional (1D) quantum wire and from a quantum Hall edge, a helical edge consists of two counter-propagating modes forming a Kramers pair. The left- and right-moving channels interact through Coulomb repulsion, but time-reversal symmetry protects the edge from the opening of a gap and from Anderson localization due to impurities. The combination of topological protection and electron correlations implies that a TI edge is an ideal Luttinger liquid at low temperatures [4,5]. Experimental evidence for helical edge states in HgTe [6] and InAs/GaSb [7] includes a quantized conductance $G = 2e^2/h$ [7,8].

In the absence of electrical contacts and magnetic fields, a HLL forms a closed, unbreakable loop. This *topology of the edge* has so far received little attention. In this Letter, we propose a TI device geometry in which edge loops rotate as interlocking “gears” through Coulomb drag [9–13]. Our main result is that the strength of electron correlations encoded in the Luttinger parameter can be directly obtained in such a device using a two-terminal dc conductance measurement.

Correlations are generically strong in 1D electron fluids, because two particles cannot exchange positions without scattering or tunneling. These correlations are encoded in the Luttinger parameter K [14]. Measuring K in a non-topological 1D electronic system (or “wire”) is possible but delicate. For instance, the zero-temperature ($T = 0$) dc transport through a perfectly clean wire gives a quantized conductance independent of K [15–17]. In a long wire, disorder tends to induce Anderson insulating behavior. At temperatures $T \gtrsim \hbar v k_F / k_B$, inelastic scattering due to irrelevant umklapp interactions gives a conductivity that depends on T through a power law [18]; here v and k_F , respectively, denote the charge velocity and Fermi wave vector. The disorder-induced scattering may lead to a

qualitatively similar effect [19]. The temperature exponent in conductance can reveal the Luttinger parameter K , but a large temperature range is needed to fit the data. The tunneling zero bias anomaly is also predicted to encode K , but measurements often contain contributions from other mechanisms [20].

In the simplest version of HLL physics that realizes the quantum spin Hall effect [4,5,21,22], the z component of spin is assumed to be conserved in a TI. As a result, the edge electrons carry well-defined S_z currents. When Rashba spin-orbit coupling (SOC) is present [1] (generically expected in the absence of inversion symmetry), S_z symmetry is sabotaged. New spin-flip interactions [23–25] are then allowed on TI edges.

We show that the Luttinger parameter enters the conductance in a Coulomb drag geometry consisting of two coplanar TI regions with Rashba SOC. Over a segment of length L , proximate HLL edge states are separated by a gap narrow enough to allow short-ranged Coulomb scattering but wide enough to prevent tunneling. In Fig. 1, we consider two identical helical edges. Current I_1 is injected by external leads. Short-ranged spin-flip scattering [23] between edges induces a current I_2 in the right TI edge loop, which floats without leads. At zero temperature, the two proximate edge segments develop a locking state of perfect drag ($I_1 = I_2$) [10,12] for an infinitely long interacting region $L \rightarrow \infty$. An additional current I_1' flows in parallel between the contacts. The zero-temperature two-terminal conductance $G = (I_1 + I_1')/V$ is

$$G = \frac{e^2}{h} [1 + (1 + 1/K)^{-1}] = \frac{e^2}{h} \left(\frac{2K + 1}{K + 1} \right), \quad (1)$$

where $(1 + 1/K)$ is the dimensionless resistance of the locked edges, as explained below. For a finite locking length $L \gg \xi$ and at temperatures T satisfying $\hbar v/L \lesssim k_B T \ll \Delta$ [11], Eq. (1) holds up to exponentially small

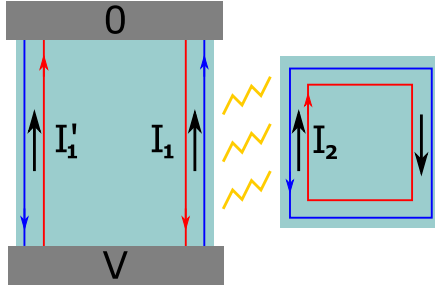


FIG. 1 (color online). Using helical quantum edge gears to measure the Luttinger parameter. We consider \mathbb{Z}_2 TI edge states in two adjacent topological regions. The blue and red arrows indicate the propagation directions of edge electrons with opposite helicities. The left TI is connected to external leads; I_1 and I_1' denote the currents of the edges connected to these. The right TI edge floats as an electrically isolated closed loop. Rashba spin-orbit coupling [1] enables Coulomb drag due to short-ranged spin-flip scattering [23] between the adjacent edges. This induces a current I_2 that circulates in the right edge. In the case of identical TIs with an interacting edge region of size $L \rightarrow \infty$, at zero temperature strong backscattering “locks” the currents $I_1 = I_2$, associated to perfect drag [10,12]. We then predict that the zero-temperature conductance is $G = (I_1 + I_1')/V = (e^2/h)(2K + 1)/(K + 1)$, where K is the Luttinger parameter. In a real system of finite length $L \gg \xi$ and at temperatures T satisfying $\hbar v/L \lesssim k_B T \ll \Delta$ [11] with $\xi = \hbar v/\Delta$ and Δ the Mott gap of the antisymmetric mode, the result for G holds up to terms exponentially small in L/ξ and $\Delta/k_B T$ [10–12]. Here v is the charge velocity.

corrections in L/ξ and $\Delta/k_B T$ [10–12]. Here $\xi \equiv \hbar v/\Delta$ is the length scale associated to the gapped “antilocking” mode with $I_1 = -I_2$; Δ is the energy gap.

We also discuss dissipative finite-temperature transport in this geometry. In contrast to the usual setup for Coulomb drag [9,13], the system is naturally characterized in terms of conductances or conductivities:

$$\begin{bmatrix} I_1 \\ I_2 \end{bmatrix} = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \end{bmatrix}, \quad G_{ij} = \sigma_{ij}/L,$$

where the labels 1 and 2 indicate the active and passive systems, respectively. For our TI edges, the passive system is a closed HLL loop with $V_2 = 0$, $I_1 = \sigma_{11}V_1/L$, and $I_2 = \sigma_{21}V_1/L$. We compute the intraedge and transconductivities using the Kubo formula and bosonization, employing the effective potential formalism [26,27]. Both σ_{11} and σ_{21} give T^{-4K+3} (T^{-4K+2}) behavior in the absence (presence) of disorder, above the locking transition.

Finally, we compute the conductivity of a single edge due to the least irrelevant symmetry-allowed (one-particle umklapp) interaction term. We find asymptotic T^{-2K-1} (T^{-2K-2}) behavior in the high- (low-) T limits, in the presence of disorder, consistent with Ref. [28], and we also

obtain the full result for the clean limit. Power-law scaling of conductance as a function of temperature and bias voltage that may be attributable to Luttinger liquid physics was recently observed in InAs/GaSb quantum spin Hall devices [29].

Model.—The edge states of a 2D TI can be expressed in terms of right (R) and left (L) mover fermion fields. The kinetic term is

$$\hat{H}_0 = -i\hbar v_F \int dx [R^\dagger(x)\partial_x R(x) - L^\dagger(x)\partial_x L(x)], \quad (2)$$

where v_F is the Fermi velocity of the edge band. Time-reversal symmetry is encoded by $R(x) \rightarrow L(x)$, $L(x) \rightarrow -R(x)$, and $i \rightarrow -i$. Left and right movers interact via intraedge Coulomb repulsion.

We focus on the coplanar geometry in Fig. 1 and consider the backscattering components of the interedge Coulomb interaction. An additional interedge Luttinger interaction does not modify our results for the locking regime if the distal portion of edge loop 2 is much longer than the interacting segment of length L ; otherwise, the parameter K in Eq. (1) encodes a combination of inter- and intraedge correlations. In the presence of Rashba SOC, the following interedge backscattering terms are allowed by symmetry [23]:

$$\hat{H}_- = U_- \int dx [e^{i2(k_{F1}-k_{F2})x} L_1^\dagger R_1 R_2^\dagger L_2 + \text{H.c.}], \quad (3)$$

$$\hat{H}_+ = U_+ \int dx [e^{i2(k_{F1}+k_{F2})x} L_1^\dagger R_1 L_2^\dagger R_2 + \text{H.c.}], \quad (4)$$

where k_{F1} (k_{F2}) indicates the Fermi momentum in the first (second) edge. These are defined relative to an edge Dirac point, which is a commensurate (time-reversal invariant) momentum [2]. The U_- interaction describes normal backscattering, while U_+ is a two-particle umklapp interaction. Additional one-particle umklapp interaction terms are also allowed:

$$\hat{H}_U = \sum_{a=1,2} U_a \int dx [e^{-i2k_{Fa}x} R_a^\dagger L_a R_a^\dagger R_{\bar{a}} - e^{i2k_{Fa}x} L_a^\dagger R_a L_a^\dagger L_{\bar{a}} + \text{H.c.}], \quad (5)$$

where a is the index of the edge, $\bar{1} = 2$, and $\bar{2} = 1$. It is worth mentioning that all of these interactions are disallowed in the presence of S_z conservation (in each edge) [23,30].

For simplicity, we assume the two HLLs are identical, so that $k_{F1} = k_{F2} \equiv k_F$ and $U_1 = U_2 \equiv U$. The dominant interedge interaction at $T = 0$ is the nonumklapp backscattering \hat{H}_- ; the others are irrelevant at long wavelengths for $k_F \neq 0$ [14]. In order to include Luttinger liquid effects, we use bosonization [14,31]. The individual edge loop HLLs are described by

$$\hat{H}_{b,0} = \frac{\hbar v}{2} \sum_{a=1,2} \int dx \left[K(\partial_x \phi_a)^2 + \frac{1}{K}(\partial_x \theta_a)^2 \right], \quad (6)$$

where K is the Luttinger parameter and v is the charge velocity. $K = 1$ and $v = v_F$ corresponds to the free fermion limit. The density (n) and current (I) can be expressed in terms of the axial fields as $n_a = \partial_x \theta_a / \sqrt{\pi}$ and $I_a = -\partial_t \theta_a / \sqrt{\pi}$, respectively. The interedge interaction \hat{H}_- is bosonized to

$$\hat{H}_{b,-} = \frac{U_-}{2\pi^2 \alpha^2} \int dx \cos[\sqrt{4\pi}(\theta_1 - \theta_2)], \quad (7)$$

where α is an ultraviolet length scale.

Perfect current drag and dc conductance.—At zero temperature, two infinite HLLs form an interedge locking state [10,12] due to the two-particle backscattering term in Eq. (7). The locking state is characterized by $\theta_1(t, x) = \theta_2(t, x) + c_m$, where $c_m = (m + 1/2)\sqrt{\pi}$ is a constant and $m \in \mathbb{Z}$. This state exhibits perfect current drag [10], $I_1 = I_2$ in Fig. 1. The conductance of the locked edges (both carrying current I_1) is $I_1/V = (e^2/h)[K/(K+1)]$. This can be understood as the series resistor combination of a spinless LL connected to leads with resistance h/e^2 [15–17] and one with periodic boundary conditions and resistance h/Ke^2 [32,33]. An explicit Green's function calculation confirms this result [34], which is also independent of disorder. Equation (1) is obtained by adding the parallel I_1' edge channel.

For a finite interacting region of length L and nonzero temperature T , we require that $L \gg \xi$ and $k_B T \ll \Delta$. Occasional phase slips between the drive and slave circuits give rise to corrections that are exponentially small in L/ξ and $\Delta/k_B T$ [10–12]. For $L = 1 \mu\text{m}$ in InAs/GaSb with $v \sim v_F = 3 \times 10^4$ m/s, this gives a lower bound for Δ of the order of $\hbar v/L = 0.02$ meV. We assume that $k_B T$ is larger than the latter to avoid coherent instanton effects [11]. By comparison, the bulk minigap is of the order of 4 meV [7]. The Mott gap takes the form [14] $\Delta \sim \sqrt{KU_- \hbar v}/\alpha$. Using $\alpha = 1$ nm gives $\Delta \sim 20$ meV $\sqrt{K(U_-/\hbar v)}$. The interaction strength U_- is obtained from the interedge Coulomb potential, mediated by matrix elements determined by the Rashba SOC in each TI (since it vanishes in its absence). The result will depend on microscopic details that we do not analyze here.

Finite-temperature corrections.—Above a crossover temperature $T^* \sim \Delta/k_B$ [12], inelastic electron-electron collisions due to the interedge interactions in Eqs. (3)–(5) can be treated perturbatively. In addition, we consider intraedge collisions due to electron-electron interactions [Eq. (14), below] and forward-scattering potential disorder. Ordinary backscattering (random mass) disorder is forbidden by time-reversal symmetry. We ignore irrelevant backscattering disorder terms with extra derivatives that are not

expected to impact the conductivity in isolation [28] and which give subleading corrections in combination with interactions. Forward-scattering disorder is encoded in $\hat{H}_{\text{imp}} = \sum_{a=1,2} \int dx \times \eta_a(x) n_a(x)$, where $\eta_a(x)$ is a random potential obeying $\overline{\eta_a(x)} = 0$ and $\overline{\eta_a(x)\eta_b(x')} = g_\eta \delta_{a,b} \delta(x-x')$. The $\overline{\quad}$ denotes disorder averaging, while g_η characterizes the disorder strength.

To compute the conductivity, we evaluate interaction corrections to the inverse boson propagator via the effective potential method [26,27]. We use replicas to average over disorder. The retarded boson correlation function is

$$[\hat{\mathcal{G}}^{(R)}(\omega, k)]_{ab}^{-1} = [\hat{G}^{(R)}(\omega, k)]_{ab}^{-1} - [\hat{\Pi}^{(R)}(\omega, k)]_{ab}, \quad (8)$$

where $a, b \in \{1, 2\}$ indicate the edges. The noninteracting propagator is $\hat{G}^{(R)}(\omega, k)$, while $\hat{\Pi}^{(R)}$ denotes the self-energy describing the interaction corrections. Equation (8) is a matrix Dyson equation. At second order in the coupling constants, $\hat{\Pi}^{(R)}$ contains an imaginary part that determines the scattering rates; the real part does not contribute to dc conductivity. In the limit $\omega \rightarrow 0$ with $k = 0$,

$$\text{Im}[\Pi_{ab}^{(R)}] = -2\omega \Xi_{ab} + \mathcal{O}(\omega^2), \quad (9)$$

where Ξ_{ab} is the “rate” (inverse mean free path) associated to Π_{ab} . The components are $\Xi_{11} = \Xi_{22} = \frac{1}{2}\Xi_+ + \frac{1}{2}\Xi_- + \Xi_U + \Xi_W$ and $\Xi_{12} = \Xi_{21} = \frac{1}{2}\Xi_+ - \frac{1}{2}\Xi_-$. Ξ_U is due to the one-particle backscattering term \hat{H}_U . Ξ_+ and Ξ_- correspond to the two-particle backscattering interactions \hat{H}_+ and \hat{H}_- , respectively. Ξ_W is due to intraedge inelastic electron-electron collisions, \hat{H}_W in Eq. (14). Ξ_U and Ξ_W affect only the diagonal elements of the self-energy, while Ξ_+ and Ξ_- contribute to all of the components.

Temperature dependences of all the scattering rates are obtained analytically. For $k_B T \gg \max(g_\eta/\hbar v, \hbar v k_F)$, $\Xi_U \propto T^{2K-1}$, $\Xi_W \propto T^{2K+1}$, and $\Xi_\pm \propto T^{4K-3}$. In the presence of disorder and for $k_B T \ll g_\eta/\hbar v$, $\Xi_U \propto T^{2K}$, $\Xi_W \propto T^{2K+2}$, and $\Xi_\pm \propto T^{4K-2}$. The additional power of T comes from disorder smearing of k_F [35]. Full crossovers with and without disorder are determined by the explicit forms of $\Xi_{U,W,\pm}$ provided in Ref. [34].

The dc conductivity can be obtained through Kubo formula [36]. The intraedge dc conductivity is

$$\begin{aligned} \sigma_{11} &= -\frac{1}{\pi} \frac{e^2}{\hbar} \lim_{\omega \rightarrow 0} \text{Im}[\omega \mathcal{G}_{11}^{(R)}(\omega, k)] \\ &= \frac{e^2}{2h} \left[\frac{1}{\Xi_+ + \Xi_U + \Xi_W} + \frac{1}{\Xi_- + \Xi_U + \Xi_W} \right]. \quad (10) \end{aligned}$$

This expression is very different from the conductivity of an isolated edge, discussed below. Both intraedge and interedge interactions contribute to Eq. (10), but the

intraedge contribution Ξ_W is subleading comparing to the interedge rates. The finite-temperature behavior for σ_{11} is summarized as follows. For clean edges and $k_B T \gg \hbar v k_F$,

$$\sigma_{11} \sim \begin{cases} T^{-4K+3}, & \text{for } K \leq 1, \\ T^{-2K+1}, & \text{for } K > 1. \end{cases} \quad (11)$$

With smooth disorder and $k_B T \ll g_\eta/\hbar v$,

$$\sigma_{11} \sim \begin{cases} T^{-4K+2}, & \text{for } K \leq 1, \\ T^{-2K}, & \text{for } K > 1. \end{cases} \quad (12)$$

$K \leq 1$ (repulsive interactions) is the physical situation. The transconductivity is

$$\sigma_{21} = \frac{e^2}{2h} \left[\frac{1}{\Xi_+ + \Xi_U + \Xi_W} - \frac{1}{\Xi_- + \Xi_U + \Xi_W} \right]. \quad (13)$$

This can be measured by shorting the distal part of passive edge loop with an ideal (zero input impedance) current meter. The leading temperature dependence of the drag conductivity is the same as the intraedge conductivity. In the usual case, one measures instead the drag resistivity [9,13]. Here this evaluates to $\rho_D = -\rho_{12} = (h/2e^2)[\Xi_- - \Xi_+]$, independent of the interedge U and intraedge W interactions. In the case of clean identical edges, a positive drag resistivity with leading T^{4K-3} behavior is obtained. This is the same result found previously for spinless Luttinger liquids [11,12] and TI edges with small magnetic fields [30].

Single edge.—Finally, we consider dc conductivity of a single edge in isolation, in the presence of Rashba SOC. The *least irrelevant* intraedge electron-electron interaction term allowed by time-reversal symmetry that can give a finite transport lifetime is

$$\hat{H}_W = W \int dx: \{ e^{i2k_F x} L^\dagger(x) R(x) R^\dagger(x) [-i\partial_x R(x)] + e^{-i2k_F x} R^\dagger(x) L(x) L^\dagger(x) [-i\partial_x L(x)] + \text{H.c.} \}:, \quad (14)$$

where $:\mathcal{O}:$ denotes the normal ordering of \mathcal{O} . This is a one-particle spin-flip umklapp term. Similar one-particle backscattering interactions appear in Refs. [24,25,28], but the full temperature dependence of the dc conductivity was not determined. The interaction correction due to Eq. (14) can be described by a self-energy with imaginary part $\text{Im}[\Pi_W^{(R)}(\omega, k)] = -2\omega\Xi_W + \mathcal{O}(\omega^2)$, when $k=0$ and $\omega \rightarrow 0$. We find that

$$\Xi_W = \frac{\tilde{W}^2 \alpha^{2K}}{(\hbar v)^2 l_T^{2K+1}} \frac{2^{2K} \pi^{2K+3} K \Gamma[-K-3]}{\Gamma[K+2]} \times \int_{-\infty}^{\infty} dy \left[\frac{\gamma/\pi}{(y - \frac{k_F l_T}{2\pi})^2 + \gamma^2} + (k_F \rightarrow -k_F) \right] \times \frac{\sin(\pi K)}{\cosh(2\pi y) - \cos(\pi K)} \left| \frac{\Gamma[\frac{4+K}{2} + iy]}{\Gamma[\frac{2-K}{2} + iy]} \right|^2, \quad (15)$$

where $\tilde{W} = W/(\pi^{3/2}\alpha)$ and $l_T \equiv \hbar v/k_B T$ denotes the thermal de Broglie wavelength. The disorder is encoded in $\gamma \equiv l_T(K/\hbar v)^2 g_\eta/2\pi$. The dc conductivity is $\sigma_{dc} = (e^2/h)(1/\Xi_W)$. At zero temperature where the HLL exhibits ballistic transport, σ_{dc} diverges.

For a clean noninteracting edge ($K = 1$ and $v = v_F$), the conductivity reduces to

$$\sigma_{dc} = \frac{e^2 (\hbar v)^2 l_T^3}{h W^2 \pi^3} \frac{6[\cosh(k_F l_T) + 1]}{[(\frac{k_F l_T}{2\pi})^4 + \frac{5}{2}(\frac{k_F l_T}{2\pi})^2 + \frac{9}{16}]}. \quad (16)$$

At high temperatures $k_F l_T \gg 1$, this is proportional to T^{-3} ; in the opposite limit, the umklapp scattering is thermally activated, giving $\sigma_{dc} \sim T \exp(k_F l_T)$. Luttinger interactions modify the high-temperature behavior to T^{-2K-1} , while disorder leads to $\sigma_{dc} \sim T^{-2K-2}$ for $l_T \gg (\hbar v)^2 g_\eta^{-1}$, again due to smearing of the Fermi momentum [35]. The disordered result is consistent with earlier predictions [24,25,28]. The T^{-2K-1} behavior is the most important temperature dependence in the clean edge due to the intraedge interactions, in the presence of Rashba SOC. The responsible interaction term in Eq. (14) will be generated by renormalization irrespective of whether it arises in a particular microscopic model.

Summary and discussion.—In this work, we have shown that low-temperature edge state transport measurements for two proximate HLLs can quantify the value of the Luttinger parameter in the presence of spin-flip interedge electron-electron scattering. The latter is enabled by Rashba SOC within each TI, as can arise in InAs/GaSb. In contrast to the usual setup for Coulomb drag, the passive circuit floats without leads and provides a much stronger source of scattering for the active circuit edge than intraedge interactions, which are negligible at low temperature. Because of the topological protection, this result is immune to disorder but receives exponentially small corrections for a long, but finite interacting region.

In the same device geometry, both the intraedge conductivity and the transconductivity show the same leading temperature dependence for T above the crossover scale to the low-temperature locking regime. Thus, two-terminal conductivity gives an alternative route to detect Coulomb drag physics. We have also computed the conductivity correction due to the least irrelevant symmetry-allowed interaction in a given edge. This gives T^{-2K-1} temperature dependence for a clean edge.

We close with some observations and avenues for future work. In general, negative drag is possible when $|k_{F1} - k_{F2}| \gg |k_{F1} + k_{F2}|$. Equation (4) instead of Eq. (3) dominates the interedge interactions at low temperature in this case. For two almost identical edges but $k_{F1} = -k_{F2}$, a perfect antiparallel current locking can occur; the two-terminal conductance is still given by Eq. (1) in the $T \rightarrow 0$ limit. The finite-temperature behavior will be qualitative the same as the parallel drag situation. The generic kinetic theory of Coulomb drag between helical edge states, that also includes the forward-scattering long-ranged component of the Coulomb interaction, is an important topic for future work [37]. Understanding how a HLL edge state thermalizes via the various scattering mechanisms has crucial implications for nonequilibrium spectroscopy [38,39]. It will also be interesting to study the noise [40] for the two-helical-edge setup described here.

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