

# 1 Pacific plate deformation from horizontal thermal contraction

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## 7 **ABSTRACT**

8       The central approximation of plate tectonics is that the plates are rigid, which gives the  
9 theory its rigor and predictive power. Space geodetic measurements are consistent with the  
10 rigidity of stable plate interiors, but some failures of plate-circuit closure, in particular of oceanic  
11 plates, indicate that plates may be measurably non-rigid. We explore the hypothesis that  
12 horizontal thermal contraction causes deformation of oceanic plates. Here we show significant  
13 expected displacement fields due to thermal contraction for the Pacific plate based on a  
14 previously proposed relationship between sea-floor age and strain rate and on two end-member  
15 assumptions on how strain compatibility is enforced. The predicted maximum 2.2 mm/yr south-  
16 eastward motion of the north-eastern part of the plate relative to the Pacific-Antarctic Rise may  
17 contribute to a large part of the non-closure of the Pacific-North America plate motion circuit.  
18 Our predicted displacement rates cannot (yet) be confirmed by current space-geodetic data and  
19 will require seafloor geodesy with 1 mm/yr accuracy. The spatial distribution of predicted  
20 moment rate agrees reasonably well with that of intraplate earthquakes epicenters, similar to  
21 what is observed for plate boundary zones. Our results suggest that plate-scale horizontal thermal  
22 contraction is significant and that it may be partly released seismically.

## 23 **INTRODUCTION**

24 Plate tectonics has transformed our view of how our planet works. The central  
25 assumption or approximation of plate tectonics is that the plates are rigid. The assumption of  
26 plate rigidity is what gives plate tectonics its rigor and predictive power. There are two main  
27 quantitative tests of plate rigidity: plate-circuit closure and space geodetic measurements. The  
28 hypothesis of plate rigidity has survived many critical tests (e.g., DeMets et al., 1990; Argus and  
29 Gordon, 1996; Dixon et al., 1996; Beavan et al., 2002).

30 Nonetheless, there is mounting evidence that tectonic plates, in particular oceanic plates,  
31 are not rigid. For example, DeMets et al. (2010) find the largest misfit in the 3-plate circuit (i.e.,  
32 inverting data only along the 3 mutual boundaries of those 3 plates) occurs for the Pacific-Nazca-  
33 Cocos circuit, namely  $14 \pm 5$  mm/yr (95% confidence limit). Another important circuit that fails  
34 closure is the 4-plate Pacific-Antarctica-Nubia-North America circuit (i.e., using data only along  
35 the Pacific-Antarctica boundary, the Antarctica-Nubia boundary, and the Nubia-North  
36 America boundary) used in plate reconstructions to estimate motion between the Pacific and  
37 North American plates. The sum of relative plate motions can be compared with geodetic  
38 estimates of Pacific-North America motion. This circuit fails closure with a misfit of  $5 \pm 3$   
39 mm/yr (DeMets et al., 2010).

40 There are several possible explanations for the non-closure of plate circuits; e.g., motion  
41 of tectonic plates over the non-spherical Earth causes deformation of the plates (McKenzie,  
42 1972; Turcotte, 1974). The explanation we explore here, however, is that some or all of the  
43 circuit misfits are caused by horizontal thermal contraction of the lithosphere. That such  
44 contraction occurs is assumed in the work of many who have studied the thermal evolution of the  
45 lithosphere and thermo-elastic stresses (e.g., Parmentier and Haxby, 1986; Sandwell and Fialko,  
46 2004). The focus in most of these prior studies has been the difference in horizontal contraction

47between the upper and lower portions of the competent oceanic lithosphere (i.e., the lithosphere  
48above the brittle-plastic transition (BPT)) and the bending moments applied to the lithosphere  
49and the resulting flexure. Here we investigate the effect of vertically averaged horizontal thermal  
50contraction in young oceanic lithosphere on plate-scale deformation by modeling the associated  
51strain rate field. We focus on the Pacific plate.

## 52HORIZONTAL THERMAL CONTRACTION

53 Kumar and Gordon (2009) presented the assumptions and methods used to determine the  
54strain rate in cooling lithosphere due to thermal contraction. In one dimension, infinitesimal  
55strain rate  $\epsilon(t)$  due to a cooling rate  $(\partial T/\partial t)$  is  $=\alpha_l(\partial T/\partial t)\epsilon$  where  $T$  is temperature,  $t$  is  
56time, and  $\alpha_l$  is the linear coefficient of thermal expansion, which has a value of  $\approx 10^{-5} \text{ K}^{-1}$ . We  
57assume that the horizontal contraction of oceanic lithosphere will be a response to thermal stress  
58averaged from the surface of the lithosphere down to the base of the competent lithosphere. We  
59assume that stresses are relaxed by solid-state flow below the BPT. Kumar and Gordon (2009)  
60showed that the depth-averaged cooling rate for a column of competent lithosphere to equal  
61  $T_m[\exp(-A^2)-1]/2At\sqrt{\pi}$  where  $A=\text{erf}^{-1}(T_l/T_m)$ ,  $T_l$  is the temperature of the isotherm  
62bounding the base of the competent lithosphere, and  $T_m$  is the initial temperature in a half-space  
63cooling model (Turcotte and Oxburgh, 1967). Estimates of  $T_m$  range from 1300 °C to 1350 °C  
64(Hillier and Watts, 2005; McKenzie et al., 2005). Following Kumar and Gordon (2009), we  
65choose the lower value for  $T_m$  as is it leads to lower contraction rates than the alternative and  
66thus we consider it to be the more conservative option. McKenzie et al. (2005) and Wiens  
67and Stein (1983) showed that the limiting depth of earthquakes approximately follows 600 °C.  
68Martinod and Molnar (1995) assumed the BPT to occur at a depth  $\approx 20\%$  greater than that of the

69 limiting depth of earthquakes. We therefore set  $T_l$  to 700 °C . This temperature also gives the  
70 best fit to the amplitude of geoid anomalies due to thermal stresses on profiles across fracture  
71 zones (Parmentier and Haxby, 1986). With the chosen values for  $T_l$  ,  $T_m$  , and  $\alpha_l$  , we find  
72  $\dot{\epsilon} \approx - 167.24 \cdot 10^{-5} / t$  . We do not consider alternative cooling models as those differ only from  
73 the half-space cooling model for ages >70 Ma where the expected strain rates will be an order-  
74 of-magnitude lower than in the youngest lithosphere. We take  $t = t' + t_0$  with  $t'$  being the age of  
75 the lithosphere and  $t_0$  being a parameter that accounts for the nonzero thickness of lithosphere  
76 along the axis of mid-ocean ridge segments. We assume  $t_0$  equals 0.1 Ma, which corresponds to  
77 a zero-age lithospheric thickness of  $\approx 2$  km (Kumar and Gordon, 2009). Kumar and Gordon  
78 (2009) discussed the strain rates and displacement rates expected from horizontal thermal  
79 contraction in a general way. Here we make explicit predictions for the Pacific plate.

## 80 RESULTS

81 We use the sea-floor age model (v. 3.6) of Müller et al. (1997) to estimate strain rates in  
82 grid cells 0.2° by 0.25° in longitude and latitude, respectively. Because of the  $1/t$  dependency of  
83 strain rate, we estimate the average age in each grid cell as the inverse average of the reciprocal  
84 age values in that cell. The total model of 197,343 cells spans the entire Pacific plate, with its  
85 boundaries defined by ridge-transform segments in the east and south (C. DeMets, pers. comm.,  
86 2010) and predominantly subduction zone trenches in the west and north (Bird, 2003). We set  
87 the age of areas with unknown age to 200 Ma.

88 We apply the method of Haines and Holt (1993) to determine a continuous model of  
89 strain rates and associated velocities that best fits the expected age-based strain rate. For each  
90 grid cell the strain rates, and corresponding *a priori* covariance matrix, are set according to bi-

91axial, age-derived, shortening both parallel and perpendicular to the local age gradient. If we  
92simply allowed the cells to shrink without enforcing strain compatibility, gaps would open up  
93everywhere in our model with the largest gaps opening up in the youngest lithosphere. If we  
94forced all the gaps to close in young lithosphere, the result would be overlap in old lithosphere.  
95Thus a sensible compromise is required for balancing extensional strains and contractional  
96strains while enforcing strain compatibility along the boundaries of all the cells.

97       We consider two end-member cases in which the continuous model should best fit the  
98expected strain rates. In Model 1, the *a priori* covariance matrix is set such that the modeled  
99continuous area strain rate amplitudes best match the expected values for each grid cell, but the  
100shortening rates parallel and perpendicular to the age gradient are not required to be equal. In  
101Model 2, the *a priori* covariance matrix is set such that the model continuous tensor field best  
102satisfies the expectation of isotropic shortening, but the area strain rates do not fit as well as in  
103Model 1. In effect, the difference between the models is on how we set the *a priori* correlation  
104between the two strain axes (0 for Model 1 and 1 for Model 2). The strain rates for Models 1 and  
1052 are broadly similar but differ in detail (Fig. 1A and B, respectively). The deviations from the  
106level of expected area strain rates and the isotropy of shortening are shown in the Electronic  
107Supplement. For both models, the straining of the Pacific plate is dominated by horizontal  
108thermal contraction but also include regions of extensional strain evidently required by strain  
109compatibility and the other assumptions of our models (Figs. 1A and 1B). The regions of  
110extension tend to be in older lithosphere and might be much smaller or disappear altogether if we  
111assumed that lithospheric strength increased with age.

112       The associated velocity field results from the spatial integration of strain rates across the  
113Pacific lithosphere. In young lithosphere the ridge-parallel component of the velocity field is

114potentially larger than the ridge-normal component, because there is more lithosphere with  
115relatively large strain rate across which to integrate. The ridge-parallel component is, however,  
116controlled by offsets of ridge segments by transform faults, limiting the velocity. For Model 2,  
117Figure 1C shows the velocity field in a reference frame that minimizes the predicted velocities at  
118a set of GPS stations in old Pacific lithosphere and Figure 1D shows the velocity field in a  
119reference frame that minimizes the velocities of points on the 0.78 Ma isochron (Müller et al.,  
1201997) along the Pacific-Antarctic Ridge. The velocity fields for Model 1 are shown in the GSA  
121Data Repository and are fairly similar to those for Model 2. To test whether the thermal  
122contraction can be observed geodetically, we also analyze data from available continuous GPS  
123stations (see GSA Data Repository). Residual horizontal GPS velocities (after subtraction of a  
124rigid-body rotation) are shown in Figure 1C.

## 125DISCUSSION

126 Most GPS residual velocities differ insignificantly from zero at the 95% confidence level  
127after we solve for and remove a rigid-body rotation (Fig. 1C). The reduced  $\chi^2$  is 2.4. We did  
128not use the velocity for the stations at Guadalupe and Chatham Islands to calculate the rigid-body  
129rotation. The station on Guadalupe Island is one of the few sites that has a considerable, but  
130insignificant (95% C.L.), motion of  $1.2 \pm 1.3$  mm/yr toward S25°E. Previous estimates for this  
131station were larger and significant (Plattner et al., 2007; Argus et al., 2010). Either way, that  
132station's motion cannot be explained by thermal contraction, which is 0.2–0.3 mm/yr toward SW  
133at this location. For the area containing the Chatham Rise and Campbell Plateau, SE of New  
134Zealand, our model predicts the largest velocities relative to the GPS locations on the old  
135lithosphere. The 0.6 mm/yr eastward and 0.6 mm/yr southward motion for stations CHAT and  
136CHTI on Chatham Island are roughly consistent with our predictions, but with only the former

137observation being significant. At Campbell Island (i.e., southwestern most Pacific island) we  
138predict 1.3–1.7 mm/yr to the SE, inconsistent with a campaign velocity there of 3.7 mm/yr  
139toward the NW (Beavan et al., 2002). If we transform that study’s velocity field onto ours,  
140however, the observed motion of Campbell Island becomes insignificant. A real test of whether  
141our prediction is correct requires the installation of a CGPS station on Campbell Island (and  
142other nearby islands) or seafloor geodesy with 1 mm/yr accuracy.

143       We also present the predicted velocity field relative to the 0.78 Ma isochron along the  
144Pacific-Antarctic Ridge (Fig. 1D) to see how thermal contraction of the Pacific plate modifies  
145the results found assuming plate rigidity in the Pacific-Antarctic-Nubia-North America plate  
146motion circuit. In this approximate reference frame, places offshore Baja California move 1.7  
147(Model 1) to 2.2 (Model 2) mm/yr toward the SE. The GPS station at Guadalupe Island could be  
148used to test for that motion, but only if we had several GPS stations on the southernmost Pacific  
149plate to provide a geodetic reference. Unfortunately, there are nearly no islands there. Although  
150the up-to-2.2 mm/yr motion off western North America may help to explain the failure to close  
151the Pacific-Antarctic-Nubia-North America circuit by  $5 \pm 3$  mm/yr (DeMets et al., 2010), the  
152thermal contraction in the Antarctic, Nubia, and North American plates needs to be modeled to  
153address the problem fully. In any case, it is unlikely that thermal contraction can entirely explain  
154a non-closure as large as the  $14 \pm 5$  mm/yr observed for the Pacific-Nazca-Cocos circuit  
155(DeMets et al., 2010), suggesting that additional deformation processes are involved in that  
156three-plate circuit.

157       Is there other evidence that thermal contraction exists and controls the Pacific plate’s  
158intraplate strain rate field? To address this, we convert predicted strain rates to “tectonic”  
159moment rates (which are proportional to strain rate times volume) and compare them with

160earthquake distributions. The number of events should be proportional to the tectonic moment  
161rate if seismicity follows a Gutenberg-Richter distribution and the b-value and maximum  
162expected moment are the same everywhere (e.g., Molnar, 1979). We use a catalog of 39  
163intraplate events and explain the catalog in the GSA Data Repository.

164       We order our model grid cells from oldest to youngest age and count the cumulative  
165number of events, until 5 Ma (we exclude younger lithosphere to avoid possibly counting ridge-  
166transform events as being intraplate). The normalized graph is shown in Figure 2A. The same  
167figure also shows for Model 1 and 2 the normalized cumulative moment rate ( $M_0$ ) for the same  
168cell ordering. For the strain-to-moment conversion we assume that the seismogenic thickness  
169follows the 700°C isotherm. We also calculate the moment rates for alternative conversions in  
170which the maximum seismogenic thickness is limited to 40 km. Our results show that all curves  
171have the same concave-up shape. A general decrease in seismicity with age was already  
172observed by Wiens and Stein (1983) and the similarity in the curves suggest that horizontal  
173thermal contraction provides a first-order control on this decrease. Because of the limited number  
174of available earthquakes, it is not possible to prefer one particular moment rate model over  
175another. From Figure 1A it is clear that there is a change in seismicity rate around 30 Ma. If a  
176correlation between earthquake numbers and  $M_0$  exists, we would expect it to be most obvious in  
177the younger lithosphere. We therefore also show a similar comparison but only for ages between  
1785 and 30 Ma (Fig. 2B), which contains 21 events. For this case both cumulative earthquakes and  
179predicted  $M_0$  form a similar linear relationship with age.

180       The correlation we observe between tectonic moment rate and number of events has also  
181been found for most subduction zones and continental deformation zones (Kreemer et al., 2002;  
182Kagan, 1999). These correlations do not, however, indicate the possibility that some, but not all,



183of the tectonic moment could be released aseismically. If all tectonic moment is released  
184seismically, an unrealistically high maximum magnitude of  $>9$  is needed to explain the  
185earthquake rate and observed b-value (Okal and Sweet, 2007); thus most of the thermal strain  
186rate in the Pacific is probably released aseismically. Moreover, it is expected that the moment  
187release mainly reflects the differential rates of cooling with depth (Wessel, 1992) and not the  
188vertically averaged cooling we consider here.

## 189CONCLUSIONS

190 Strain rates from horizontal thermal contraction are much smaller than those occurring in  
191narrow plate boundaries and generally below the level of direct detection with GPS  
192measurements. When integrated across the plate away from the Pacific-Antarctic Ridge,  
193however, velocities can become 2.2 mm/yr off the coast of western North America and can  
194contribute significantly toward observed non-closure of the Pacific-North America plate circuit.  
195Moreover, the correlation between the distribution of earthquakes and predicted strain or  
196moment rate supports that thermal strain rates are real and released seismically, at least partly.  
197We conclude that horizontal thermal contraction should be considered in future development of  
198the plate tectonic theory.

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## 271 **FIGURE CAPTIONS**

272 Figure 1. A) Contours of log second invariant of model strain rate tensor for Model 1 for which  
273 areal strain rates best match the expected values. Linear strain rates saturate at  $10^{-11.5} \text{ yr}^{-1}$ . White  
274 circles are epicenters of selected Pacific intraplate earthquakes from 1960 to 2008 (Engdahl et  
275 al., 1998) (see Electronic Supplement). Orthogonal bars indicate the orientations of principal  
276 strain rate directions with the lengths of the bars indicating the relative magnitudes of the two  
277 horizontal principal strain rates, black if contractional and white if extensional. B) same as A but  
278 for Model 2 for which the strain rates best satisfy isotropy. C) Residual GPS velocities (white  
279 vectors), after removal of rigid-body rotation, with 95% confidence error ellipses. Black vectors  
280 and contours are predicted velocities from thermal contraction (Model 2) are shown in the same  
281 frame as GPS, D) Predicted velocities (vectors and contours) from thermal contraction (Model 2)  
282 relative to the 0.78 Ma isochron along the Pacific-Antarctic Ridge.

283 Figure 2. A) Normalized cumulative number of earthquakes from oldest to youngest (i.e., 5 Ma  
284 old) lithosphere. Also shown are normalized cumulative moment rate for both end-member

285 models. Moment rate is defined as:  $2\mu V \left[ \left| (\dot{\epsilon}_{xx} + \dot{\epsilon}_{yy})/2 \right| + \sqrt{\left[ (\dot{\epsilon}_{xx} - \dot{\epsilon}_{yy})/2 \right]^2 + \dot{\epsilon}_{xy}^2} \right]$ , with the

286 shear modulus,  $\mu$ , set to 30 GPa, and volume,  $V$ , is the area times a thickness set to the depth of  
287 the 700°C isotherm (or is alternatively limited to be no more 40 km.). B) same as A, but only for  
288 lithosphere between 5 and 30 Ma. old.

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289<sup>1</sup>GSA Data Repository item 2014xxx, [Details on GPS data analysis and earthquake catalog], is  
290available online at [www.geosociety.org/pubs/ft2014.htm](http://www.geosociety.org/pubs/ft2014.htm), or on request from  
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